

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

FORM
X-17A-5

FOCUS REPORT
(FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT)
PART II 11

(Please read instructions before preparing Form)

This report is being filed pursuant to (Check Applicable Block(s)):

- 1) Rule 17a-5(a) 16 2) Rule 17a-5(b) 17 3) Rule 17a-11 18
4) Special request by designated examining authority 19 5) Other 26

NAME OF BROKER-DEALER

BANC OF AMERICA SECURITIES LLC 13

ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.)

ONE BRYANT PARK 20

(No. and Street)

NEW YORK 21 NY 22 10036 23

(City)

(State)

(Zip Code)

SEC. FILE NO.

8-42263 14

FIRM ID NO.

26091 15

FOR PERIOD BEGINNING (MM/DD/YY)

07/01/09 24

AND ENDING (MM/DD/YY)

09/30/09 25

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT (Area code) - Telephone No.

Sally Maske 30

(415)913-4110 31

NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT

OFFICIAL USE

32

33

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DOES RESPONDENT CARRY ITS OWN CUSTOMER ACCOUNTS ? YES 40 NO 41

CHECK HERE IF RESPONDENT IS FILING AN AUDITED REPORT 42

EXECUTION:

The registrant/broker or dealer submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements and schedules remain true, correct and complete as previously submitted.

Dated the _____ day of _____ 20 _____

Manual Signatures of:

1) _____
Principal Executive Officer or Managing Partner

2) _____
Principal Financial Officer or Partner

3) _____
Principal Operations Officer or Partner

ATTENTION - Intentional misstatements or omissions of facts constitute Federal Criminal Violations. (See 18 U.S.C. 1001 and 15 U.S.C. 78:f (a))

FINRA

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

BANC OF AMERICA SECURITIES LLC

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STATEMENT OF FINANCIAL CONDITION

as of (MM/DD/YY) 09/30/09 99

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Consolidated 198

Unconsolidated 199

	<u>ASSETS</u>			
	<u>Allowable</u>		<u>Nonallowable</u>	<u>Total</u>
1. Cash	\$ 114,418,867	200		\$ 114,418,867 750
2. Cash segregated in compliance with federal and other regulations	10,000,000	210		10,000,000 760
3. Receivable from brokers or dealers and clearing organizations:				
A. Failed to deliver:				
1. Includable in "Formula for Reserve Requirements"	261,331,893	220		
2. Other	1,671,257,331	230		1,932,589,224 770
B. Securities borrowed:				
1. Includable in "Formula for Reserve Requirements"	340,969,501	240		
2. Other	30,330,926,691	250		30,671,896,192 780
C. Omnibus accounts:				
1. Includable in "Formula for Reserve Requirements"	0	260		
2. Other	0	270		0 790
D. Clearing Organizations:				
1. Includable in "Formula for Reserve Requirements"	2,443,379	280		
2. Other	23,004,653	290		25,448,032 800
E. Other	1,036,458,307	300	\$ 31,001,127 550	1,067,459,434 810
4. Receivables from customers:				
A. Securities accounts:				
1. Cash and fully secured accounts	1,082,331,053	310		
2. Partly secured accounts	0	320	543,418 560	
3. Unsecured Accounts			3,249,200 570	
B. Commodity accounts	0	330	0 580	
C. Allowance for doubtful accounts	(0)	335	(0) 590	1,086,123,671 820
5. Receivables from non-customers:				
A. Cash and fully secured accounts	522,198	340		
B. Partly secured and unsecured accounts	0	350	0 600	522,198 830
6. Securities purchased under agreements to resell	92,478,908,555	360	0 605	92,478,908,555 840
7. Securities and spot commodities owned, at market value:				
A. Banker's acceptances, certificates of deposit and commercial paper	4,262,711,335	370		
B. U.S. and Canadian government obligations	39,943,981,577	380		
C. State and municipal government obligations	2,198,950,612	390		
D. Corporate obligations	15,392,697,953	400		

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

as of 09/30/09

BANC OF AMERICA SECURITIES LLC

STATEMENT OF FINANCIAL CONDITION

ASSETS (continued)

	<u>Allowable</u>	<u>Nonallowable</u>	<u>Total</u>
E. Stocks and warrants	\$ 246,636,017		410
F. Options	332,179,249		420
G. Arbitrage	0		422
H. Other securities	0		424
I. Spot Commodities	0		430
J. Total inventory - includes encumbered securities of ... \$	18,632,364,302		120
			\$ 62,377,156,743
8. Securities owned not readily marketable:			
A. At Cost	\$ 1,430,167,411	0	440
		\$ 1,430,167,411	610
			1,430,167,411
9. Other investments not readily marketable:			
..... \$	37,067,693		140
B. At estimated fair value	0	37,067,693	450
			37,067,693
10. Securities borrowed under subordination agreements and partners' individual and capital securities accounts, at market value:			
A. Exempted securities	\$ 0		150
B. Other	\$ 0	0	160
			0
11. Secured demand notes-market value of collateral:			
A. Exempted securities	\$ 0		170
B. Other	\$ 0	0	180
			0
12. Memberships in exchanges:			
A. Owned, at market value	\$ 0		190
B. Owned at cost		0	650
C. Contributed for use of company, at market value		0	660
			0
13. Investment in and receivables from affiliates, subsidiaries and associated partnerships	0	25,902,266	480
			25,902,266
14. Property, furniture, equipment, leasehold improvements and rights under lease agreements:			
At cost (net of accumulated depreciation and amortization)	0	8,175,772	490
			8,175,772
15. Other Assets:			
A. Dividends and interest receivable	789,129,343	998,265	500
B. Free shipments	0	0	510
C. Loans and advances	0	0	520
D. Miscellaneous	155,993,294	1,061,975,072	530
E. Collateral accepted under SFAS 140	31,258,000		536
F. SPE Assets	0		537
			2,039,353,974
16. TOTAL ASSETS	\$ 190,706,109,808	\$ 2,599,080,224	\$ 193,305,190,032
			540
			740
			940

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER
BANC OF AMERICA SECURITIES LLC

as of 09/30/09

STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY

<u>Liabilities</u>	<u>A.I.</u> <u>Liabilities</u> *	<u>Non-A.I.</u> <u>Liabilities</u> *	<u>Total</u>
17. Bank loans payable:			
A. Includable in "Formula for Reserve Requirements"	\$ 1030	\$ 1240	\$ 13,266,000
B. Other	1040	1250	1,986,734,076
18. Securities sold under repurchase agreements.		1260	140,123,121,613
19. Payable to brokers or dealers and clearing organizations:			
A. Failed to receive:			
1. Includable in "Formula for Reserve Requirements"	1050	1270	288,748,575
2. Other	1060	1280	532,198,316
B. Securities loaned:			
1. Includable in "Formula for Reserve Requirements"	1070		10,738,086
2. Other	1080	1290	7,621,188,966
C. Omnibus accounts:			
1. Includable in "Formula for Reserve Requirements"	1090		0
2. Other	1095	1300	0
D. Clearing organizations:			
1. Includable in "Formula for Reserve Requirements"	1100		1,037
2. Other	1105	1310	13,959,040
E. Other	1110	1320	173,102,483
20. Payable to customers:			
A. Securities accounts - including free credits of \$ 269,249,759	950		1,261,713,444
B. Commodities accounts	1130	1330	0
21. Payable to non customers:			
A. Securities accounts	1140	1340	168,243,752
B. Commodities accounts	1150	1350	0
22. Securities sold not yet purchased at market value - including arbitrage of \$ 0	960	1360	17,003,573,720
23. Accounts payable and accrued liabilities and expenses:			
A. Drafts payable	1160		8,679,723
B. Accounts payable	1170		20,361,334
C. Income taxes payable	1180		1,252,803,133
D. Deferred income taxes		1370	0
E. Accrued expenses and other liabilities ..	1190		1,478,436,466
F. Other	1200	1380	0
G. Obligation to return securities		1386	31,258,000
H. SPE Liabilities		1387	0

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*Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER

BANC OF AMERICA SECURITIES LLC

as of 09/30/09

STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY (continued)

	<u>Liabilities</u>	A.I. <u>Liabilities</u> *	Non-A.I. <u>Liabilities</u> *	<u>Total</u>
24. Notes and mortgages payable:				
A. Unsecured	\$	1210		\$ 12,688,150,000 1690
B. Secured		1211	\$ 1390	0 1700
25. Liabilities subordinated to claims of general creditors:				
A. Cash borrowings:			1400	3,728,000,000 1710
1. from outsiders \$	0 0970			
2. Includes equity subordination(15c3-1(d)) of	\$ 1,458,000,000 0980			
B. Securities borrowings, at market value:			1410	0 1720
from outsiders \$	0 0990			
C. Pursuant to secured demand note collateral agreements:			1420	0 1730
1. from outsiders \$	0 1000			
2. Includes equity subordination(15c3-1(d)) of	\$ 0 1010			
D. Exchange memberships contributed for use of company, at market value			1430	0 1740
E. Accounts and other borrowings not qualified for net capital purposes		1220	1440	0 1750
26. TOTAL LIABILITIES	\$	1230	\$ 1450	\$ 188,404,277,764 1760
<u>Ownership Equity</u>				
27. Sole proprietorship				\$ 0 1770
28. Partnership - limited partners	\$	0 1020		0 1780
29. Corporation:				
A. Preferred stock				0 1791
B. Common stock				0 1792
C. Additional paid- in capital				2,096,086,613 1793
D. Retained Earnings				2,804,825,655 1794
E. Total				4,900,912,268 1795
F. Less capital stock in treasury			(0 1796
30. TOTAL OWNERSHIP EQUITY				\$ 4,900,912,268 1800
31. TOTAL LIABILITIES AND OWNERSHIP EQUITY				\$ 193,305,190,032 1810

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* Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER
BANC OF AMERICA SECURITIES LLC

as of 09/30/09

COMPUTATION OF NET CAPITAL

1. Total ownership equity (from Statement of Financial Condition - Item 1800)	\$	4,900,912,268	3480
2. Deduct: Ownership equity not allowable for Net Capital		(0)	3490
3. Total ownership equity qualified for Net Capital		4,900,912,268	3500
4. Add:			
A. Liabilities subordinated to claims of general creditors allowable in computation of net capital		3,728,000,000	3520
B. Other (deductions) or allowable credits (List)		0	3525
5. Total capital and allowable subordinated liabilities	\$	8,628,912,268	3530
6. Deductions and/or charges:			
A. Total non-allowable assets from			
Statement of Financial Condition (Notes B and C)	\$	2,599,080,224	3540
1. Additional charges for customers' and non-customers' security accounts		0	3550
2. Additional charges for customers' and non-customers' commodity accounts		0	3560
B. Aged fail-to-deliver:		108,817,704	3570
1. number of items	1,223	3450	
C. Aged short security differences-less reserve of	\$	0	3460
number of items		0	3470
D. Secured demand note deficiency		0	3590
E. Commodity futures contracts and spot commodities - proprietary capital charges		0	3600
F. Other deductions and/or charges		241,082,649	3610
G. Deductions for accounts carried under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x)		0	3615
H. Total deductions and/or charges		(2,948,980,577)	3620
7. Other additions and/or allowable credits (List)		0	3630
8. Net Capital before haircuts on securities positions	\$	5,679,931,691	3640
9. Haircuts on securities: (computed, where applicable, pursuant to 15c3-1(f)):			
A. Contractual securities commitments	\$	29,400,118	3660
B. Subordinated securities borrowings		0	3670
C. Trading and investment securities:			
1. Bankers' acceptances, certificates of deposit and commercial paper		22,350,229	3680
2. U.S. and Canadian government obligations		288,425,953	3690
3. State and municipal government obligations		85,225,504	3700
4. Corporate obligations		836,559,260	3710
5. Stocks and warrants		65,425,014	3720
6. Options		15,309,692	3730
7. Arbitrage		0	3732
8. Other securities		1,182,783,800	3734
D. Undue concentration		162,893,574	3650
E. Other (List)		34,808,868	3736
10. Net Capital	\$	(2,723,182,012)	3740
		2,956,749,679	3750

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FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

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BANC OF AMERICA SECURITIES LLC

as of 09/30/09

COMPUTATION OF BASIC NET CAPITAL REQUIREMENT

Part A

11. Minimal net capital required (6-2/3% of line 19)	\$	3756
12. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note (A)	\$	3758
13. Net capital requirement (greater of line 11 or 12)	\$	3760
14. Excess net capital (line 10 less 13)	\$	3770
15. Excess net capital at 1000% (line 10 less 10% of line 19)	\$	3780

COMPUTATION OF AGGREGATE INDEBTEDNESS

16. Total A.I. liabilities from Statement of Financial Condition	\$	3790
17. Add:		
A. Drafts for immediate credit	\$	3800
B. Market value of securities borrowed for which no equivalent value is paid or credited	\$	3810
C. Other unrecorded amounts (List)	\$	3820
18. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts(15c3-1(c)(1)(vii))	\$	3838
19. Total aggregate indebtedness	\$	3840
20. Percentage of aggregate indebtedness to net capital (line 19 divided by line 10)	%	3850
21. Percentage of aggregate indebtedness to net capital after anticipated capital withdrawals (line 19 divided by line 10 less item 4880 page 12)	%	3853

COMPUTATION OF ALTERNATE NET CAPITAL REQUIREMENT

Part B

22. 2% of combined aggregate debit items as shown in Formula for Reserve Requirements pursuant to Rule 15c3-3 prepared as of the date of net capital computation including both brokers or dealers and consolidated subsidiaries' debits	\$	97,914,118	3870
23. Minimum dollar net capital requirement of reporting broker or dealer and minimum net capital requirement of subsidiaries computed in accordance with Note(A)	\$	114,211,778	3880
24. Net capital requirement (greater of line 22 or 23)	\$	114,211,778	3760
25. Excess net capital (line 10 less 24)	\$	2,842,537,901	3910
26. Percentage of Net Capital to Aggregate Debits (line 10 divided by line 18 page 8)	%	175.46	3851
27. Percentage of Net Capital, after anticipated capital withdrawals, to Aggregate Debits item 10 less Item 4880 page 12 divided by line 17 page 8)	%	175.46	3854
28. Net capital in excess of the greater of: 5% of combined aggregate debit items or 120% of minimum net capital requirement	\$	2,819,695,545	3920

OTHER RATIOS

Part C

29. Percentage of debt to debt-equity total computed in accordance with Rule 15c3-1 (d)	%	26.31	3860
30. Options deductions/Net Capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6), (a)(7) and (c)(2)(x) divided by Net Capital	%		3852

NOTES:

- (A) The minimum net capital requirement should be computed by adding the minimum dollar net capital requirement of the reporting broker dealer and, for each subsidiary to be consolidated, the greater of:
1. Minimum dollar net capital requirement, or
 2. 6-2/3% of aggregate indebtedness or 2% of aggregate debits if alternate method is used.
- (B) Do not deduct the value of securities borrowed under subordination agreements or secured demand notes covered by subordination agreements not in satisfactory form and the market values of memberships in exchanges contributed for use of company (contra to item 1740) and partners' securities which were included in non-allowable assets.
- (C) For reports filed pursuant to paragraph (d) of Rule 17a-5, respondent should provide a list of material non-allowable assets.

**FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER

BANC OF AMERICA SECURITIES LLC

as of 09/30/09

**COMPUTATION FOR DETERMINATION OF RESERVE REQUIREMENTS
FOR BROKER-DEALERS UNDER RULE 15c3-3
(See Rule 15c3-3, Exhibit A and Related Notes)**

CREDIT BALANCES

1. Free credit balances and other credit balances in customers' security accounts (see Note A, Exhibit A, Rule 15c3-3)	\$ 988,598,004	4340
2. Monies borrowed collateralized by securities carried for the accounts of customers (see Note B)	13,266,000	4350
3. Monies payable against customers' securities loaned (see Note C)	10,738,086	4360
4. Customers' securities failed to receive (see Note D)	288,749,612	4370
5. Credit balances in firm accounts which are attributable to principal sales to customers	313,547,977	4380
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	0	4390
7. ** Market value of short security count differences over 30 calendar days old	0	4400
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	6,950,180	4410
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	0	4420
10. Other (List)	0	4425
11. TOTAL CREDITS	\$ 1,621,849,859	4430

DEBIT BALANCES

12. ** Debit balances in customers' cash and margin accounts excluding unsecured accounts and accounts doubtful of collection net of deductions pursuant to Note E, Exhibit A, Rule 15c3-3	\$ 1,080,372,207	4440
13. Securities borrowed to effectuate short sales by customers and securities borrowed to make delivery on customers' securities failed to deliver	340,969,501	4450
14. Failed to deliver of customers' securities not older than 30 calendar days	263,775,272	4460
15. Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in customer accounts (see Note F)	0	4465
16. Margin related to security futures products written, purchased or sold in customer accounts required and on deposit with a clearing agency or a derivative clearing organization (see note G)	0	4467
17. Other (List)	0	4469
18. ** Aggregate debit items	\$ 1,685,116,980	4470
19. ** Less 3% (for alternative method only - see Rule 15c3-1(f)(5)(i))	(50,553,509)	4471
20. ** TOTAL 15c3-3 DEBITS	1,634,563,471	4472

RESERVE COMPUTATION

21. Excess of total debits over total credits (line 20 less line 11)	\$ 12,713,612	4480
22. Excess of total credits over total debits (line 11 less line 20)	0	4490
23. If computation permitted on a monthly basis, enter 105% of excess of total credits over total debits		4500
24. Amount held on deposit in "Reserve Bank Account(s)", including value of qualified securities, at end of reporting period	350,000,000	4510
25. Amount of deposit (or withdrawal) including value of qualified securities	\$ (100,000,000)	4515
26. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including value of qualified securities	\$ 240,000,000	4525
27. Date of deposit (MMDDYY)	10/02/09	4540

FREQUENCY OF COMPUTATION

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28. Daily 4332 Weekly X 4333 Monthly 4334

** In the event the Net Capital Requirement is computed under the alternative method, this "Reserve Formula" shall be prepared in accordance with the requirements of paragraph (f) of Rule 15c3-1.

**FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER

BANC OF AMERICA SECURITIES LLC

as of 09/30/09

**COMPUTATION FOR DETERMINATION OF PAIB RESERVE REQUIREMENTS
FOR BROKER-DEALERS**

CREDIT BALANCES

1. Free credit balances and other credit balances in proprietary accounts of introducing brokers (PAIB)	\$	0	2110
2. Monies borrowed collateralized by securities carried for PAIB		0	2120
3. Monies payable against PAIB securities loaned (see Note 2- PAIB)		0	2130
4. PAIB securities failed to receive		0	2140
5. Credit balances in firm accounts which are attributable to principal sales to PAIB		0	2150
6. Other (List)		0	2160
7. TOTAL PAIB CREDITS	\$		0 2170

DEBIT BALANCES

8. Debit balances in PAIB excluding unsecured accounts and accounts doubtful of collection	\$	0	2180
9. Securities borrowed to effectuate short sales by PAIB and securities borrowed to make delivery on PAIB securities failed to deliver		0	2190
10. Failed to deliver of PAIB securities not older than 30 calendar days		0	2200
11. Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in PAIB accounts		0	2210
12. Margin related to security futures products written, purchased or sold in PAIB accounts required and on deposit with a clearing agency or a derivative clearing organization		0	2215
13. Other (List)		0	2220
14. TOTAL PAIB DEBITS	\$		0 2230

RESERVE COMPUTATION

15. Excess of total PAIB debits over total PAIB credits (line 14 less line 7)	\$	0	2240
16. Excess of total PAIB credits over total PAIB debits (line 7 less line 14)		0	2250
17. Excess debits in customer reserve formula computation		0	2260
18. PAIB Reserve Requirement (line 16 less line 17)		0	2270
19. Amount held on deposit in "Reserve Bank Account(s)", including \$ 5,000,000 2275 value of qualified securities, at end of reporting period		5,000,000	2280
20. Amount of deposit (or withdrawal) including \$ 0 2285 value of qualified securities		0	2290
21. New amount in Reserve Bank Account(s) after adding deposit or subtracting \$ 5,000,000 2295 value of qualified securities	\$	5,000,000	2300
22. Date of deposit (MMDDYY)		10/02/09	2310

FREQUENCY OF COMPUTATION

23. Daily 2315 Weekly 2320 Monthly 2330

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